



## Release Notes

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Product	Volt Active Data
Version	13.3.2
	VoltDB Operator 3.2.1
Release Date	September 6, 2024 (updated September 10, 2024)

This document provides information about known issues and limitations to the current release of VoltDB. If you encounter any problems not listed below, please be sure to report them to [support@voltageactive.com](mailto:support@voltageactive.com). Thank you.

## Upgrading From Older Versions

The process for upgrading from the recent versions of VoltDB is as follows:

1. Shutdown the database, creating a final snapshot (using **voltadmin shutdown --save**).
2. Upgrade the VoltDB software.
3. Restart the database (using **voltadmin start**).

For Kubernetes, see the section on "Upgrading the VoltDB Software and Helm Charts" in the *VoltDB Kubernetes Administrator's Guide*. For DR clusters, see the section on "Upgrading VoltDB Software" in the *VoltDB Administrator's Guide* for special considerations related to DR upgrades. If you are upgrading from versions before V6.8, see the section on "Upgrading Older Versions of VoltDB Manually" in the same manual.

Finally, for all customers upgrading from earlier versions of VoltDB, please be sure to read the *Volt Upgrade Guide*, paying special attention to the notes for your current and subsequent releases, including V8, V10, V11, and V12.

## Changes Since the Last Release

Users of previous versions of VoltDB should take note of the following changes that might impact their existing applications. See the *Volt Operator Release Notes* for changes specific to the use of VoltDB on the Kubernetes platform.

### Important Note for Kubernetes Users

Starting with the release of Volt Operator version 3.0.0, the commands for starting and managing Volt clusters on Kubernetes have changed. These changes apply to *all* versions of VoltDB after you do a `helm repo update`, which makes Volt Operator version 3.0.0 available in Helm.

For the latest Volt Operator there is no default for the software version — you must specify the version on the Helm command line. How this is done depends on which version of VoltDB you wish to use:

- **Recent releases** — For recent releases of all supported versions of Volt Active Data (including 13.0.3, 12.3.4, 11.4.13, and 10.2.21 or later) you can specify the VoltDB version to use by setting the Helm property `global.voltdbVersion`. For example:

```
$ helm install mydb voltdb/voltdb --set global.voltdbVersion=13.3.0
```

- **Older releases** — For earlier releases (prior to 13.0.3, 12.3.4, 11.4.13, or 10.2.21) you must specify the Operator and chart version associated with the software version you want to use and, optionally, the image tag. For example:

```
$ helm install mydb voltdb/voltdb \
  --set operator.image.tag=2.2.1 \
  --set cluster.clusterSpec.image.tag=12.3.1
```

See the Volt Operator Release Notes for details on running older versions of VoltDB on Kubernetes.

## 1. Release V13.3.2 (September 6, 2024)

### 1.1. Security updates

The following CVE was resolved:

CVE-2024-6345

### 1.2. Improvements

The following limitations in previous versions have been resolved:

- There was a situation where, if the procedure call timeout was set to a fraction of a second on the client call, the timeout response could be delayed if it coincided with a topology change in the cluster (that is, a node dropping out of rejoining. This was caused by the timeout and topology tracking running on the same thread. The problem was also specific to the version 1 Java client only. This issue has been resolved.
- Under certain conditions, XDCR clusters could generate a large volume of log messages warning of export gaps being recovered. This was caused by two issues: an unusually large number of XDCR conflicts, and a bug in the handling of the conflict log when a cluster node drops and rejoins. In rare cases a race condition could exacerbate the situation and cause nodes to crash.

The internal handling of the conflict log and resulting race condition have been corrected. However, it is important for the integrity of your data to recognize (and correct) the application logic if it is causing frequent and persistent transaction conflicts in an XDCR environment.

- The HTTP error codes returned by the VMC and HTTP API service have been improved. For example, when the cluster nodes become unavailable (either due to downtime or network failures) the VMC service now returns HTTP code 503, service unavailable.
- The standalone VMC service supports the HTTP JSON API. However, in previous releases, the API returned call results in version 1.0 format for both V1.0 and V2.0 requests. This issue has been resolved and V2 API requests are now returned in V2.0 format.
- Previously, there was an issue in Kubernetes where cycling the database cluster pods could cause the VMC service to consume all available CPU. Specifically, if — while the VMC service is processing ongoing HTTP API requests — the cluster nodes are stopped, restarted, then stopped again, while the cluster is stopped API requests to the VMC service cause CPU usage to spike. This issue has been resolved.
- In Kubernetes, Volt Active Data now supports control group V2 resource management when determining how much memory is available to the pods. Previously, Volt used control group V1, which could return incorrect values on recent releases of Kubernetes that use V2 by default.

- There was a problem with the Volt Active Data kits for the Macintosh M1 architecture (aarch64) where the JNI library was in the wrong location. The result was that the server would fail to start reporting that it could not load a shared library. This issue has been resolved.
- In earlier releases of Volt Active Data, there was an issue where a schema change during an in-service upgrade from 13.2.x to 13.3.x could cause the upgrade to fail. This issue is resolved in the current releases. However, even though it can succeed, in general initiating a schema change during an in-service upgrade is *not* recommended.

## 2. Release V13.3.1 (August 16, 2024)

### 2.1. Preparation for in-service upgrades to Volt Active Data V14.0

This release includes the necessary changes to prepare the software to support in-service upgrades from V13.3 LTS to the next major version of Volt Active Data, V14.0.

### 2.2. Security updates

The following CVEs were resolved:

CVE-2024-21147  
PRISMA-2023-0067

The following outstanding CVE in the Universal Base Image (UBI) will be addressed as soon as a fix becomes available from Red Hat:

CVE-2024-6345

### 2.3. Improvements

The following limitations in previous versions have been resolved:

- Due to functionality that was removed from the latest release of Python, earlier releases of the Volt command line utilities **voltadb** and **voltadmin** did not work properly under Python 3.12. This issue has been resolved.
- The separate VMC and HTTP service uses cookies to maintain session state, which works with web browsers. However, for other HTTP invocations (such as curl or programmatic calls to the HTTP client API) this caused the server to create a new session for each call, leaking memory. This issue has been resolved.
- Under certain circumstances, the cluster would report an logging error "failed to determine log rollover time" once a day. When this happened, the usual list of system settings was not added to the daily log. This issue has been resolved.
- When TLS/SSL is enabled, the **voltadb** command needs the Python SSL module installed to communicate with the server, and will report an error if it is not found. However, previously, the error message was obscure and the command incorrectly attempted to load the module when it wasn't needed. Now the SSL module is only loaded when necessary and a meaningful error message is returned if the library is not found.
- Previously, the web server used by the Volt Management Console (VMC) reported details concerning the server software version in the HTTP header. This information has been removed from the server messages.

## 3. Release V13.3.0 (June 28, 2024)

### 3.1. Long-Term Support release for Volt Active Data Version 13 now available

We are happy to announce the Long-Term Support (LTS) release for version 13. This means this version of the software has reached maturity and will be maintained for the LTS life span. Updates will be released to

fix critical security vulnerabilities, correct bugs, and further ensure the stability of the software, but no new features will be added until the next major release, V14.0.0.

### 3.2. Support for dual stack networks

This release extends the IPv6 and dual stack network support by allowing the selection of the IP protocol to use for XDCR clusters in Kubernetes. The `cluster.serviceSpec.dr.ipFamilies` and `cluster.serviceSpec.perpod.dr.ipFamilies` properties provide flexibility when configuring a dual stack IPv4/IP6 network. See the new section on Configuring Network Protocols in the *Volt Kubernetes Administrator's Guide* for details.

### 3.3. Improved performance of in-service upgrades

As part of the in-service upgrade (ISU) process, the nodes drop out of the cluster and rejoin it one at a time to upgrade the software. During this step there was a momentary but noticeable spike in the latency of in-flight transactions as the remaining nodes synchronize their workloads. This latency has been dramatically reduced, improving the overall performance and reducing the impact on customer applications during the upgrade process.

### 3.4. New configuration options for controlling database replication (DR) timeouts

There is an undocumented VOLTDB\_OPTS option, DR\_RECV\_TIMEOUT, that changes the timeout period for a cluster's connection requests to another XDCR cluster. This setting is now deprecated and replaced by two official configuration controls, `connectiontimeout` and `receivetimeout`, in the configuration file. They set a timeout in milliseconds for the initial connection request and ongoing communication, respectively, and are attributes of the `<connection>` element. For example:

```
<dr id="1" role="xdcr">
  <connection source="parisdb.myorg.com"
    connectiontimeout="15000"
    receivetimeout="300000" />
</dr>
```

Note that the use of DR\_RECV\_TIMEOUT is now deprecated and will be ignored in a future release. However, until then, the VOLTDB\_OPTS setting will take precedence so as not to alter existing behavior.

### 3.5. New documentation on tuning XDCR clusters

The chapter on Active(N) Database Replication in the *Using VoltDB* manual has been rewritten, including a new section on tuning XDCR to meet the needs of the application's workload requirements.

### 3.6. Additional improvements

In addition to the new features and capabilities described above, the following limitations in previous versions have been resolved:

- In Kubernetes, under certain conditions, when using an IPv6 address for XDCR, the networking protocols would not be configured correctly, causing the cluster pods to fail with a Java illegal argument exception. This issue has been resolved.
- Previously, attempting to create an XDCR environment using IPv6 addresses in the `<connection>` `source` attribute would fail because the initial snapshot was never sent to the cooperating clusters. This issue has been resolved.
- Volt Active Data changed how procedure timeout conditions are handled in V12.0. However, it turns out this change conflicts with the time limit for logging long-running procedures when it was set to a very small value,

such as 20 milliseconds (for example, `cluster.config.deployment.systemsettings.procedure.logininfo=20` in Kubernetes or `<systemsettings><procedure logininfo="20" /></systemsettings>` in the configuration file). The symptom of the problem was frequent, spurious log messages warning of a multi-partition procedure taking too long. This issue has been resolved.

- During a rejoin or schema update, the server loads classes associated with the database (such as stored procedures). Previously, if the load failed because Java ran out of memory, the error was caught but the update operation was not completely canceled and the schema could be left in an incomplete state. This issue has been resolved. Now if Java runs out of memory during a rejoin, the rejoin fails. During a schema or configuration update, the update is rejected and the database retains its previous state.
- A race condition was discovered in XDCR which, in a few rare cases, could be triggered by a topology change in the cluster such as occurs during a node failure and rejoin, elastic expansion or contraction, or an in-service upgrade. The issue is that it is possible for the cluster to lose a binary log it receives from another XDCR cluster. The possible symptoms are that replication refuses to drain during shutdown or the other cluster ultimately breaks off replication because it never receives confirmation of the lost transaction. This issue has been resolved.
- The default XDCR consumer queue size was previously applied inconsistently and would select the maximum number of buffers rather than bytes if both were configured. Setting the queue size in bytes is recommended, so queue size now always defaults to a maximum number of bytes (50MB by default) and the size in bytes takes precedence where both are set.
- With SNMP enabled, when a DR overflow limit was exceeded, another SNMP resource limit for export overflow was erroneously cleared. This issue has been resolved.
- It was possible, when using the **voltadmin stop** command and requesting one server to stop another server (for example, **voltadmin stop --host=svr1 svr2**) for Volt to attempt to use the wrong network address when contacting the first server and the command would fail. This issue has been resolved.

## 4. Release V13.2.3 (June 27, 2024)

### 4.1. Improvements

The following limitations in previous versions have been resolved:

- It was possible for Volt metrics to erroneously report a negative value for a partition's idle time. This issue has been resolved.
- Performing an in-service upgrade from V13.1.4 to V13.2.2 could result in a null pointer exception on an XDCR cluster. This issue has been resolved.

## 5. Release V13.2.2 (May 31, 2024)

### 5.1. Security updates

The following CVE was resolved:

CVE-2024-21634

The following outstanding CVE's in the Universal Base Image (UBI) will be addressed as soon as fixes become available from Red Hat:

CVE-2023-6597

CVE-2024-2961

CVE-2024-27316

CVE-2024-28182  
CVE-2024-32487  
CVE-2024-33599

## 5.2. Additional improvements

The following limitations in previous versions have been resolved:

- As a result of the switch from Alpine to UBI as the base image for VoltDB on Kubernetes, some network and productivity tools — such as `gzip`, `nc`, `netstat`, and `vim` — were not included in the Docker image. These utilities have been added back into the base image.
- There was an issue where one specific Kafka client could not publish records to a Volt opaque topic. The cause of the problem was that the topic was reporting an incorrect offset back to the client. This issue has been resolved.

## 6. Release V13.2.1 (April 19, 2024)

### 6.1. Additional improvement

The following limitation in a previous version has been resolved:

- The Java client library JAR released with Volt 13.2.0 is missing a required Java class. This issue has been resolved. This release is a client library update only. If you downloaded the V13.2.0 Java client library, you must update to the latest release.

## 7. Release V13.2.0 (March 29, 2024)

### 7.1. Volt Operator V3.0

This release of Volt Active Data uses a new version of the Volt Operator for Kubernetes, version 3.0.0. The newest operator improves the management of Volt database applications in two ways. It allows the user to select the chart, operator, and Docker image by specifying just the software version of VoltDB. It also uses a single operator and chart for managing all current versions of VoltDB, rather than separate operator releases for each software release. These changes have two major benefits:

- **Ease of Use** — You only need to specify the version of VoltDB to use, you no longer need to correlate which operator and chart versions are compatible with which software versions.
- **Reliability** — There is a single operator for all currently supported versions of VoltDB; consequently, fixes to the operator itself automatically apply to all versions of the product.

The one slight change resulting from the transition to Operator V3.0 is that there is no longer a default version of VoltDB. In other words, you must explicitly identify the version of VoltDB you want to use when you start the database or upgrade the VoltDB version. For example:

```
$ helm install mydb voltdb/voltdb --set global.voltdbVersion=13.2.0
```

This applies to both the `helm install` and `helm upgrade` commands.

### 7.2. Autoscaling in Kubernetes

Volt Active Data now supports autoscaling in Kubernetes. That is, the ability for the Volt Operator to automatically expand or contract the cluster based on specific metrics. For example, if the workload increase to a certain size, measured in transactions per second, the operator will add more nodes to the cluster to handle

the additional work. On the other hand, if the workload decreases below a certain TPS value, the operator will shrink the cluster to avoid over provisioning. See the chapter on starting and stopping the cluster in the *Kubernetes Administrator's Guide* for more information on autoscaling.

### 7.3. Separate VMC service now available

A separate service supporting the Volt Management Center (VMC) and HTTP JSON programming interface is now available for bare metal installations. The VMC service has been available on Kubernetes since VoltDB V13.0; it is now also available as a separate downloadable kit for bare metal systems. The separate service is recommended for several reasons:

- Performance — the service can be run on a database server or on a separate server, so as not to compete with the other database functions.
- Flexibility — the service can be run on systems running Java 8, 11, or later, including most Linux systems and even Microsoft Windows.
- Scalability — you can run as many copies of the VMC service as you like. When serving just VMC, one service instance per cluster is usually sufficient. If your application makes significant use of the JSON API, you can run multiple copies for increased capacity and availability.
- Reliability — As a separate service, VMC can easily be updated in case of bug fixes or security vulnerabilities without having to update the database software.

So the *Volt VMC Service* guide for details on installing and running VMC and the JSON HTTP API as a separate service.

### 7.4. Embedded VMC and JSON HTTP API are being deprecated

With the availability of VMC and the JSON API as a separate service, the use of these features embedded in the server software is being deprecated. The separate VMC service is already used by default on Kubernetes. Currently, the embedded VMC and HTTP server are still available and enabled by default for clusters running on bare metal. However, in a future release, this default will be changed so that the embedded service does not start by default and, eventually, it will be removed entirely from the server software.

### 7.5. Kubernetes updated to use the Red Hat Universal Base Image (UBI).

With this release, the base image for running Volt under Kubernetes has been upgraded from Alpine to the Red Hat Universal Base Image (UBI). UBI provides Volt Active Data with an established and supported base image on which to build. This change also corrects issues encountered when running Volt under recent releases of OpenShift.

### 7.6. New SQL SIGN() function

There is a new SQL function, SIGN(), that takes a numeric value as its argument and returns 1, -1, 0, or null, depending on whether the argument is positive, negative, zero, or null respectively.

### 7.7. Ability to select the Kubernetes user account and group UIDs

Previously, the user account UID used for the Kubernetes security context was fixed as user 1001 and could not be changed. The default is still 1001, but you can now select your own user and/or group UID when initially configuring a Volt Active Data database in Kubernetes. Note, however, you cannot change those IDs once the database has been created.

### 7.8. You can now use PEM files to specify the truststore in Java client applications and Volt command line utilities

Where before you had to specify the TS/SSL credentials using a properties file when connecting from a client, you can now use a PEM (or Privacy Enhanced Mail) file. PEM files are text rather than binary and therefore easier to manage. You can use them when connecting from a Java or Python client application or using the Volt command line utilities. For example, when starting `sqlcmd` you can specify a PEM file with the `--ssl` qualifier:

```
$ sqlcmd --ssl=mysslcreds.pem
```

#### 7.9. Support for Java 21

Volt Active Data now supports Java 21 for running the VoltDB servers and clients.

#### 7.10. Support for Kubernetes 1.28

The new operator V3.0.0 adds support for Kubernetes 1.28.

#### 7.11. New metrics measure intra-cluster latency

Several new metrics are available that help analyze the time spent queuing, sending and returning transactions that must be passed between the nodes of the cluster. The new metrics are the result of sampling transactions in flight and include:

```
io_network_inbound_queue_time  
io_network_procedure_round_time  
io_network_replication_round_trip_time
```

#### 7.12. New @Statistics MEMORY columns measure Java NIO usage and undo log size

Several columns have been added to the MEMORY selector of the @Statistics system procedure that measure the use of Java new input/output (NIO) memory and the undo log. These columns include UNDO\_LOG\_SIZE, NIO\_TOTAL\_BUFFER\_COUNT, NIO\_TOTAL\_SIZE, and NIO\_USED. See the description of the @Statistics system procedure in the *Using VoltDB* guide for details.

#### 7.13. The metrics.retain property has been deprecated

The Helm property `metrics.retain` and its XML configuration file equivalent, the `retain` attribute of the `<metrics>` element, have been deprecated. For the time being, these settings are still allowed in the configuration but are ignored. However, in a future release the property name and XML attribute may be dropped from the allowable syntax and become invalid.

#### 7.14. Active/passive database replication as a separate product feature is deprecated.

The original active/passive database replication product feature has been deprecated. The user interface artifacts associated with this feature (such the roles of "master" and "replica" in DR configuration and the @Promote system procedure ) will be removed in a future major release. Note that active/passive replication is still possible using Active(N), where it can also be combined with other DR usage models such as active/active. It is only the older, limited feature set and user interface that are being deprecated.

#### 7.15. Security updates

The following high and critical CVEs were resolved:

```
CVE-2023-4408  
CVE-2023-5517  
CVE-2023-5679  
CVE-2023-6516
```



CVE-2023-7104  
CVE-2023-50387  
CVE-2023-50868  
CVE-2024-25710  
CVE-2024-26308

## 7.16. Additional improvements

The following limitations in previous versions have been resolved:

- Previously, it was not possible to change all of the available flush intervals while the database was running. Only the DR flush interval could be updated dynamically. This issue has been resolved and all flush intervals can now be changed with the **voltadmin update** command.
- In certain rare situations, if a schema change coincides with a node's attempt to rejoin the cluster, a race condition could result in the rejoin request being rejected. This issue has been resolved.
- There were situations where Volt failed to allocate memory, but rather than reporting a meaningful error, the server process failed with a segmentation violation (SIGSEGV). The original error is now caught and an appropriate error that sufficient memory could not be allocated is reported.
- On rare occasions, if a node dropped out of the cluster, then during the initial rejoin it failed again or was interrupted, subsequent attempts to rejoin the cluster would fail claiming there was a snapshot in progress. Re-joining a new node (or the same node re-initialized) would clear the condition. This issue has been resolved.
- There was an issue with Active(N) cross datacenter replication (XDCR), where if a producer node stops and restarts, it could take up to a minute for the consumer to reconnect after the producer comes back up. This issue has been resolved.
- In previous releases, if an Active(N) conflict occurs due to a row containing an invalid timestamp, replication would be broken and the server reported an SQL error related to the CAST() function. Now the conflict is recorded correctly in the conflict log and replication continues.
- Previously, when removing one cluster from a 3-way XDCR environment, it was possible to drop the cluster from the mesh by setting the DR state to "none". However, subsequent attempts to restart the cluster would result in a warning of a possible mutlipartition transaction deadlock. This issue has been resolved.
- Earlier versions of the example Grafana dashboards incorrectly calculated the number of procedure errors, resulting in the dashboard sometimes showing no errors when errors existed. This issue has been resolved.
- In recent releases, if the database was configured to use external TLS/SSL encryption but security was not turned on, a Java client trying to connect without the appropriate SSL configuration would generate a stack dump (illegal argument exception) rather than receiving a meaningful error. This also applied to starting **sqlcmd** without the **--ssl** argument. This issue has been resolved.
- Previously, errors in the filename or path specified in the TLS/SSL configuration were not identified or reported until the database started. Now the paths are tested and any errors reported during the initialization of the database root directory.
- In certain situations where a cluster topology change coincides with the execution of a stored procedure, the procedure may end up being restarted. When the interrupted procedure was a run-everywhere procedure intended for all partitions, it was possible for the restart to incorrectly treat the procedure as single-partitioned. This issue has been resolved.
- In very rare cases, it was possible that after several snapshot restores, a subsequent restore could fail to load all of the data due to a cached index being out of date. This issue has been resolved.

- There was an issue related to elastically expanding a cluster where export was enabled or XDCR replication was enabled, even if XDCR was not currently connected to another cluster. If, after the expansion, the cluster performed a stop node action followed by a rejoin, there was a potential conflict over which nodes were controlling which partitions. In the case of export, multiple nodes might attempt to export the same data. In the case of XDCR, attempting to perform an in-service upgrade would fail when the second node being updated would not shutdown. This issue has been resolved.
- There was a problem with in-service upgrades where if an invalid image was specified, the upgrade would fail in such a way it could not recover. This issue has been resolved. The invalid image is now detected, the upgrade fails, but the user can simply revert to the previous image specification to roll back to the original software.

## 8. Release V13.1.3 (February 8, 2024)

### 8.1. Additional improvements

The following limitations in previous versions have been resolved:

- There was an issue with Active(N) cross datacenter replication (XDCR), where if a producer node stops and restarts, it could take up to a minute for the consumer to reconnect after the producer comes back up. This effect was amplified during an in-service upgrade of an XDCR cluster, because producer nodes are stopped and restarted in series, in some cases causing XDCR replication to stall for the duration of the upgrade. This issue has been resolved.
- In some circumstances, if a pod failed to start with the new version during an in-service upgrade, subsequent attempts to rollback to the previous version would also fail. This issue has been resolved.

## 9. Release V13.1.2 (January 31, 2024)

### 9.1. Improvements to In-Service Upgrade

This release provides significant improvements to the in-service upgrade process, eliminating most interruptions and errors returned by in-flight transactions due to servers stopping and restarting. In the initial release of in-service upgrade, stopping the individual nodes could result in transactions returning an error if the queue stopped before the transaction completed. This issue was exacerbated in Kubernetes environments by the Operator prematurely stopping the pod before the server process completed its shutdown procedure. Both of these situations have been significantly improved through better coordination of the server, the client, and the operator.

Please note, that these improvements depend on changes to all three components and are only available if you upgrade all three. That is, using the latest Volt Operator, Volt server software, and linking your client applications against the latest Java client library (2.3.2 or later of the operator and V13.1.2 or later of the server software and client library).

### 9.2. Security updates

All known high and critical CVEs were resolved as of the date of this release, including:

CVE-2023-7104

### 9.3. Additional improvement

The following limitation in previous versions has been resolved:

- Under certain circumstances, it was possible to trigger a small but persistent memory leak in the DR port if the port was configured for TLS/SSL encryption. Specifically, when programs such as port scanners or

load balancers repeatedly test the DR port, creating new connections each time, memory usage would grow. This issue has been resolved.

- There were situations where a topology-aware client might fail to acknowledge all change announcements, leading to the client not connecting to all available servers. This was most likely to occur with large clusters after the cluster restarts or where the client application starts before the cluster starts.

This issue has been resolved. However, it is important to note that the fix is in the Java client, *not* the server software. So to resolve this problem your client applications must be run against the latest version (V13.1.2) of the Volt Client Library JAR.

- In some circumstances, if a pod failed to start with the new version during an in-service upgrade, subsequent attempts to rollback to the previous version would also fail. This issue has been resolved.

## 10. Release V13.1.1 (January 11, 2024)

### 10.1. Additional improvements

The following limitations in previous versions have been resolved:

- In Kubernetes, a bug in the Go language processor was causing the Volt Operator to mistakenly update pod settings repeatedly. Although normally this would go unnoticed, in the case of services using the Border Gateway Protocol (BGP) -- such as certain load balancers used for negotiating XDCR connections -- the repeated updates could result in network issues, excessive latency, and, ultimately, XDCR connection failures. This issue has been resolved.
- As a result of extensive internal testing, a few rare edge cases that could cause node rejoins to fail have been identified and corrected.

## 11. Release V13.1.0 (December 21, 2023)

### 11.1. ARM 64

Volt Active Data now supports the Arm CPU architecture — and specifically Aarch64 — as a hardware platform for production use of VoltDB. Talk to your Volt Active Data sales representative for more information.

### 11.2. In-Service Upgrade

Volt Active Data now supports *in-service upgrades*: the ability to upgrade the VoltDB server software for a single cluster without requiring any downtime (uninterrupted upgrades using multiple Active(N) clusters have been available for some time now). In-service upgrade is a new, licensable feature where you remove, upgrade, and rejoin individual nodes from the cluster one at a time. On Kubernetes, the Volt Operator automates this process through a new property, `cluster.clusterSpec.enableInServiceUpgrade`. See the sections on in-service upgrades in the *Volt Administrator's Guide* and *Volt Kubernetes Administrator's Guide* for more information.

### 11.3. Elastically reducing the cluster size in Kubernetes

It is now possible to both elastically grow and shrink clusters in the Kubernetes environment. Reducing the cluster size is just a matter of setting the replica count to the appropriate value. (You can only reduce the cluster by K+1 nodes at a time.) Once initialized, the Volt Operator automates the process and provides periodic status information through Kubernetes events and object properties. See the sections on elastically resizing the cluster in the *Volt Kubernetes Administrator's Guide* for more information.

### 11.4. Diagnostics tools for Kubernetes

Volt now provides maintenance and management tools optimized for Kubernetes as a separately installable pod. The diagnostics pod provides access to `sqlcmd` as well as the `collect` command for gathering logs and other

diagnostics data from the cluster. See the appendix on diagnostics tools in the *Volt Kubernetes Administrator's Guide* for more information.

### 11.5. FORMAT() Function

There is a new SQL function, `FORMAT()`, that formats a text string, using the standard replacement placeholders found in functions such as `printf` to insert values from table columns and other SQL expressions.

### 11.6. New license file format

VoltDB uses a new license file format with a more flexible structure to allow for new features in the future. With the introduction of the new file format, this release of Volt Active Data no longer accepts older, outdated license formats. This means that before upgrading existing databases, *please make sure you are using a recent license issued in the past two years.*

To verify that you have a recent license, connect to your database server and issue the command **`voltadmin inspect`**. If the command reports a permit version of 3 or 4, you are all set. If the command reports a permit version of 2 or less, or returns an error that no such command exists, you will need to replace your license before upgrading. Talk to your Volt Active Data customer support representative to receive a replacement license.

In addition to a new license format, several minor changes related to license management have been made:

- The `@SystemInformation OVERVIEW` system procedure no longer reports on individual licensed features, but continues to report on the license type and expiration date.
- The `@SystemInformation LICENSE` system procedure now reports on all licensed features, not just command logging and database replication.

### 11.7. Java 8 use deprecated for VoltDB servers

Use of the Java 8 runtime to run the VoltDB server software is now deprecated. The recommended Java versions are Java 11 and 17. Note that Java 8 is still supported for running applications using the VoltDB client API.

Although deprecated, use of Java 8 to run the VoltDB server continues to work at this time. However, support for such usage will be removed in a future release.

### 11.8. Passive Database Replication deprecated

The original passive database replication (DR) functionality involving one master and one replica cluster, is now deprecated. The ability to set up passive replication using Active(N) — also known as XDCR — easily and more flexibly (with multiple masters, any mix of active masters and passive replicas) makes a separate and less functional alternative impractical. By focusing on a single, integrated set of capabilities for both active and passive DR, the product can move forward with new capabilities more rapidly.

The separate passive DR implementation continues to function in V13. However, it will be removed from the product in some future major release.

### 11.9. Volt support for Helm 3.11 and later

To match the currently supported versions of Helm, Volt Active Data now requires Helm 3.11 or later when running in Kubernetes.

### 11.10. Additional improvements

The following limitations in previous versions have been resolved:

- In recent releases (starting with V12.3), events in the VMC service were not being logged properly under Kubernetes. This issue has been resolved

- Volt no longer checks if TCP offload or generic receive offload are disabled. Previously, these settings had to be disabled for VoltDB to start. By eliminating the check, it is possible to run VoltDB in environments, most notably Kubernetes, where these OS features may be enabled.
- A number of edge cases related to elastically resizing the database cluster have been detected and corrected, significantly improving the reliability and robustness of elastic operations.
- Extra snapshot files manually copied into the snapshot folders in the database root directory could result in nodes failing to rejoin the cluster or the cluster failing to start after a crash or shutdown. This issue has been resolved. However, manually copying additional files into the root directory structure is strongly discouraged and can cause unpredictable behavior, including failures.
- Rigorous testing of failure scenarios uncovered a rare condition associated with tables declared with the EXPORT TO TARGET or EXPORT TO TOPIC clause and actively exporting during a sequence of node failures and rejoins. A race condition while nodes are dropping and rejoining could potentially result in the table not queueing all appropriate rows to the associated target. This issue has been resolved.
- There was a race condition associated with the TTL (time to live) feature during a rejoin operation. It was possible, under certain limited circumstances, for a TTL operation during a rejoin to cause data to silently diverge. This issue has been resolved.
- Another race condition, in command logging this time, could cause the cluster to crash on startup. This condition could only be triggered if the command logs contained a schema update in the binary logs. This issue has been resolved.
- There are reports that VoltDB does not operate correctly when the networking environment is configured to use *jumbo frames*. The symptoms are that TCP packets with MTU>9000 are dropped. However, this is not a Volt-specific issue. When configuring the network to use jumbo frames, it is crucial to thoroughly test the network to guarantee that TCP packets are not fragmented or have their segments reordered during reassembly. If not, there is a danger of lost packets in the network layer, unrelated to the specific application involved.

## 12. Release V13.0.3 (November 27, 2023)

### 12.1. Security updates

All known high and critical CVEs were resolved as of the date of this release, including:

CVE-2023-4586  
CVE-2023-5363

### 12.2. Additional improvements

The following limitations in previous versions have been resolved:

- Several errors logged by the new metrics subsystem were discovered and corrected.
- Under certain circumstances, when resizing a database cluster to reduce the number of nodes, a flurry of informational messages reporting that an invocation request was rejected could fill up the log files. This issue has been resolved.

## 13. Release V13.0.2 (November 6, 2023, updated May 14, 2024)

### 13.1. Beta release of ARM architecture support.

We are happy to announce that VoltDB 13.0.2 is available in both the traditional x86 kit and in a new ARM64 architecture build. The ARM build is a beta release for testing only, it is not intended for production use.

The only known limitation to the beta release is that the separate Volt Management Center (VMC) pod for Kubernetes does not work yet. The workaround is to set the `vmc.enabled` flag to *false* when starting the cluster:

```
$ helm install mydb voltdb/voltdb \
  --values myconfig.yaml \
  --set vmc.enabled=false
```

Please report any further issues and feedback to [betasupport@voltactivedata.com](mailto:betasupport@voltactivedata.com). Thank you.

### 13.2. New diagnostics pod available for Volt Active Data on Kubernetes.

For Kubernetes users, there is a new tool, the diagnostics pod, that automates the collection of logs and other diagnostic information that is needed when debugging issues you report to the Volt support team. The diagnostics pod is a standalone product that is installed separately, so can be added to existing VoltDB installations without affecting ongoing operations. The diagnostics pod is available from the same repository as the Volt Active Data server software and can be installed using Helm:

```
$ helm install voltttools voltdb/volt-diagnostics
```

Instructions are available in the readme included in the kit:

```
$ helm show readme voltdb/volt-diagnostics
```

### 13.3. Security updates

All known high and critical CVEs were resolved as of the date of this release, including:

CVE-2023-34455  
CVE-2023-44487

### 13.4. Additional improvements

The following limitations in previous versions have been resolved:

- In releases of Volt Active Data since V12.0, when restoring a snapshot from a larger cluster to a smaller cluster (for example, moving a snapshot from a five node to a three node cluster), it was possible for the restore operation to run out of heap memory, even though the new cluster had sufficient memory for the saved data. This issue has been resolved.
- Previously, TLS/SSL encryption was enabled for the new metrics port whenever SSL was enabled for any other VoltDB port on the server, whether internal or external. TLS/SSL should only be enabled for the metrics port when it is enabled for external ports. This issue has been resolved.
- There was a minor memory leak associated with closing client connections with SSL/TLS enabled. Although trivial under normal conditions, for applications that connect and disconnect repeatedly in rapid succession, the cumulative effect can ultimately use up all available memory. This issue has been resolved.

## 14. Release V13.0 (September 30, 2023)

### 14.1. New Prometheus metrics system

Volt V13 announces the general production release of a new metrics system, where every server in the cluster reports its own data through a Prometheus-compliant endpoint. You enable Prometheus metrics in the configuration file when initializing the database by adding the `<metrics>` element to the Volt configuration file:

```
<deployment>
  <cluster kfactor="1" />
  <metrics enabled="true" />
</deployment>
```

Once enabled, each Volt server reports server-specific information through the metrics port, which defaults to 11781. The new metrics system replaces the standalone Prometheus agent, which has now been deprecated. See the sections on integrating with Prometheus in the *Volt Administrator's Guide* and *Volt Kubernetes Administrator's Guide*.

#### 14.2. New Grafana dashboards

To match the new metrics system, Volt provides sample Grafana dashboards to help visualize your database's performance and status. The dashboards are available from github:

- <https://github.com/VoltDB/volt-monitoring/tree/main/dashboards/Volt-V13.x/new-metrics>

#### 14.3. Support for alternate character sets

Volt provides full support for international characters through its use of UTF-8 for storing and displaying textual data. However, there are other character encodings that may be in use by customers, including both older, established encodings such as Shift\_JIS and newer encodings like the Chinese GB18030-2022 standard. For customers using alternate character encodings as the default in their client environment, Volt now provides automatic conversion of character encodings both interactively and for file input. The **sqlcmd** utility automatically converts to and from the terminal session's localized character set for input and display. When reading and writing files, you can use the `--charset` qualifier to specify the character encoding of the file, both with the **sqlcmd** and **csvloader** utilities.

#### 14.4. Improved command line interface for the sqlcmd utility

In addition to full character set support, the **sqlcmd** utility has a more complete and consistent set of command line qualifiers. The new `--output-file` qualifier captures the output of SQL statements; it does not echo the commands or informational messages. When used with the `--output-format=csv` qualifier, `--output-file` now generates valid CSV files without extraneous lines. Similarly, the `--file` qualifier lets you process a file containing any valid **sqlcmd** statements or directives. And if the file contains only data definition language (DDL) statements, you can use the `--batch` qualifier to process all of the statement as a single batch significantly reducing the time required to update the schema. See the **sqlcmd** reference page for these and other improvements to the **sqlcmd** utility.

#### 14.5. Beta support for ARM architecture

Beta software kits for running the VoltDB server software natively on ARM64 architecture are now available. If you are interested in participating in the ARM64 beta program, please contact your Volt Customer Success Manager.

#### 14.6. Support for Kubernetes 1.27

Volt Active Data now supports version 1.27 of the Kubernetes platform. See the *Kubernetes Compatibility Chart* for details on what versions of Kubernetes are supported by each version of VoltDB.

#### 14.7. Security updates

All known high and critical CVEs were resolved as of the date of this release, including:

CVE-2022-48174  
CVE-2023-3341

CVE-2023-4236

#### 14.8. Additional improvements

The following limitations in previous versions have been resolved:

- Volt V12.3 introduced the ability to alter DR tables without pausing the database. Unfortunately, after altering the table, it was possible in certain cases for subsequent tuple updates (UPDATE or DELETE) to generate unnecessary and potentially misleading conflicts in the DR conflict log. This issue has been resolved.
- In recent versions of Volt (starting with V12.0), if a schema change coincided with a snapshot save operation, it was possible under certain circumstances for the schema change to hang and never complete. This issue has been resolved.

## Known Limitations

The following are known limitations to the current release of VoltDB. Workarounds are suggested where applicable. However, it is important to note that these limitations are considered temporary and are likely to be corrected in future releases of the product.

### 1. Command Logging

- 1.1. Do not use the subfolder name "segments" for the command log snapshot directory.

VoltDB reserves the subfolder "segments" under the command log directory for storing the actual command log files. Do not add, remove, or modify any files in this directory. In particular, do not set the command log snapshot directory to a subfolder "segments" of the command log directory, or else the server will hang on startup.

### 2. Database Replication

- 2.1. Some DR data may not be delivered if master database nodes fail and rejoin in rapid succession.

Because DR data is buffered on the master database and then delivered asynchronously to the replica, there is always the danger that data does not reach the replica if a master node stops. This situation is mitigated in a K-safe environment by all copies of a partition buffering on the master cluster. Then if a sending node goes down, another node on the master database can take over sending logs to the replica. However, if multiple nodes go down and rejoin in rapid succession, it is possible that some buffered DR data — from transactions when one or more nodes were down — could be lost when another node with the last copy of that buffer also goes down.

If this occurs and the replica recognizes that some binary logs are missing, DR stops and must be restarted.

To avoid this situation, especially when cycling through nodes for maintenance purposes, the key is to ensure that all buffered DR data is transmitted before stopping the next node in the cycle. You can do this using the @Statistics system procedure to make sure the last ACKed timestamp (using @Statistics DR on the master cluster) is later than the timestamp when the previous node completed its rejoin operation.

- 2.2. Avoid bulk data operations within a single transaction when using database replication

Bulk operations, such as large deletes, inserts, or updates are possible within a single stored procedure. However, if the binary logs generated for DR are larger than 45MB, the operation will fail. To avoid this situation, it is best to break up large bulk operations into multiple, smaller transactions. A general rule of thumb is to multiply the size of the table schema by the number of affected rows. For deletes and inserts, this value should be under 45MB to avoid exceeding the DR binary log size limit. For updates, this number should be under 22.5MB (because the binary log contains both the starting and ending row values for updates).



### 2.3. Database replication ignores resource limits

There are a number of VoltDB features that help manage the database by constraining memory size and resource utilization. These features are extremely useful in avoiding crashes as a result of unexpected or unconstrained growth. However, these features could interfere with the normal operation of DR when passing data from one cluster to another, especially if the two clusters are different sizes. Therefore, as a general rule of thumb, DR overrides these features in favor of maintaining synchronization between the two clusters.

Specifically, DR ignores any resource monitor limits defined in the deployment file when applying binary logs on the consumer cluster. This means, for example, if the replica database in passive DR has less memory or fewer unique partitions than the master, it is possible that applying binary logs of transactions that succeeded on the master could cause the replica to run out of memory. Note that these resource monitor limits *are* applied on any original transactions local to the cluster (for example, transactions on the master database in passive DR).

### 2.4. Different cluster sizes can require additional Java heap

Database Replication (DR) now supports replication across clusters of different sizes. However, if the replica cluster is smaller than the master cluster, it may require a significantly larger Java heap setting. Specifically, if the replica has fewer unique partitions than the master, each partition on the replica must manage the incoming binary logs from more partitions on the master, which places additional pressure on the Java heap.

A simple rule of thumb is that the worst case scenario could require an additional  $P * R * 20\text{MB}$  space in the Java heap, where  $P$  is the number of sites per host on the replica server and  $R$  is the ratio of unique partitions on the master to partitions on the replica. For example, if the master cluster is 5 nodes with 10 sites per host and a  $K$  factor of 1 (i.e. 25 unique partitions) and the replica cluster is 3 nodes with 8 sites per host and a  $K$  factor of 1 (12 unique partitions), the Java heap on the replica cluster may require approximately 320MB of additional space in the heap:

```
Sites-per-host * master/replace ratio * 20MB
8 * 25/12 * 20 = ~ 320MB
```

An alternative is to reduce the size of the DR buffers on the master cluster by setting the `DR_MEM_LIMIT` Java property. For example, you can reduce the DR buffer size from the default 10MB to 5MB using the `VOLTDDB_OPTS` environment variable before starting the master cluster.

```
$ export VOLTDDB_OPTS="-DDR_MEM_LIMIT=5"
```

```
$ voltdb start
```

Changing the DR buffer limit on the master from 10MB to 5MB proportionally reduces the additional heap size needed. So in the previous example, the additional heap on the replica is reduced from 320MB to 160MB.

### 2.5. The `voltadmin status --dr` command does not work if clusters use different client ports

The `voltadmin status --dr` command provides real-time status on the state of database replication (DR). Normally, this includes the status of the current cluster as well as other clusters in the DR environment. (For example, both the master and replica in passive DR or all clusters in XDCR.) However, if the clusters are configured to use different port numbers for the client port, VoltDB cannot reach the other clusters and the command hangs until it times out waiting for a response from the other clusters.

## 3. Cross Datacenter Replication (XDCR)

### 3.1. Avoid replicating tables without a unique index.

Part of the replication process for XDCR is to verify that the record's starting and ending states match on both clusters, otherwise known as *conflict resolution*. To do that, XDCR must find the record first. Finding uniquely indexed records is efficient; finding non-unique records is not and can impact overall database performance.

To make you aware of possible performance impact, VoltDB issues a warning if you declare a table as a DR table and it does not have a unique index.

- 3.2.** When starting XDCR for the first time, only one database can contain data.

You cannot start XDCR if both databases already have data in the DR tables. Only one of the two participating databases can have preexisting data when DR starts for the first time.

- 3.3.** During the initial synchronization of existing data, the receiving database is paused.

When starting XDCR for the first time, where one database already contains data, a snapshot of that data is sent to the other database. While receiving and processing that snapshot, the receiving database is paused. That is, it is in read-only mode. Once the snapshot is completed and the two database are synchronized, the receiving database is automatically unpaused, resuming normal read/write operations.

- 3.4.** A large number of multi-partition write transactions may interfere with the ability to restart XDCR after a cluster stops and recovers.

Normally, XDCR will automatically restart where it left off after one of the clusters stops and recovers from its command logs (using the **voltldb recover** command). However, if the workload is predominantly multi-partition write transactions, a failed cluster may not be able to restart XDCR after it recovers. In this case, XDCR must be restarted from scratch, using the content from one of the clusters as the source for synchronizing and recreating the other cluster (using the **voltldb create --force** command) without any content in the DR tables.

- 3.5.** Avoid using TRUNCATE TABLE in XDCR environments.

TRUNCATE TABLE is optimized to delete all data from a table rather than deleting tuples row by row. This means that the binary log does not identify which rows are deleted. As a consequence, a TRUNCATE TABLE statement and a simultaneous write operation to the same table can produce a conflict that the XDCR clusters cannot detect or report in the conflict log.

Therefore, do not use TRUNCATE TABLE with XDCR. Instead, explicitly delete all rows with a DELETE statement and a filter. For example, `DELETE * FROM table WHERE column=column` ensures all deleted rows are identified in the binary log and any conflicts are accurately reported. Note that `DELETE FROM table` without a WHERE clause is *not* sufficient, since its execution plan is optimized to equate to TRUNCATE TABLE.

- 3.6.** Use of the VoltProcedure.getUniqueId method is unique to a cluster, not across clusters.

VoltDB provides a way to generate a deterministically unique ID within a stored procedure using the getUniqueId method. This method guarantees uniqueness *within the current cluster*. However, the method could generate the same ID on two distinct database clusters. Consequently, when using XDCR, you should combine the return values of VoltProcedure.getUniqueId with VoltProcedure.getClusterId, which returns the current cluster's unique DR ID, to generate IDs that are unique across all clusters in your environment.

- 3.7.** Multi-cluster XDCR environments require command logging.

In an XDCR environment involving three or more clusters, command logging is used to ensure the durability of the XDCR "conversations" between clusters. If not, when a cluster stops, the remaining clusters can be at different stages of their conversation with the downed cluster, resulting in divergence.

For example, assume there are three clusters (A, B, and C) and cluster B is processing binary logs faster than cluster C. If cluster A stops, cluster B will have more binary logs from A than C has. You can think of B being "ahead" of C. With command logging enabled, when cluster A restarts, it will continue its XDCR conversations and cluster C will catch up with the missing binary logs. However, without command logging, when A stops,

it must restart from scratch. There is no mechanism for resolving the difference in binary logs processed by clusters B and C before the failure.

This is why command logging is required to ensure the durability of XDCR conversations in a multi-cluster (that is, three or more) XDCR environment. The alternative, if not using command logging, is to restart all but one of the remaining clusters to ensure they are starting from the same base point.

### 3.8. Do not use **voltadmin dr reset** to remove an XDCR cluster that is still running

There are two ways to remove a cluster from an XDCR relationship: you can use **voltadmin drop** on a running cluster to remove it from the XDCR network, or you can use **voltadmin dr reset** from the remaining clusters to remove a cluster that is no longer available. But you *should not* use **voltadmin dr reset** to remove a cluster that is still running and connected to the network. Resetting a running cluster will break DR for that cluster, but will result in errors on the remaining clusters and leave their DR queues for the reset cluster in an ambiguous state. Ultimately, this can result in the removed cluster not being able to rejoin the XDCR network at a later time.

## 4. TTL

### 4.1. Use of TTL (time to live) with replicated tables and Database Replication (DR) can result in increased DR activity.

TTL, or time to live, is a feature that automatically deletes old records based on a timestamp or integer column. For replicated tables, the process of checking whether records need to be deleted is performed as a write transaction — even if no rows are deleted. As a consequence, any replicated DR table with TTL defined will generate frequent DR log entries, whether there are any changes or not, significantly increasing DR traffic.

Because of the possible performance impact this behavior can have on the database, use of TTL with replicated tables and DR is not recommended at this time.

## 5. Export

### 5.1. Synchronous export in Kafka can use up all available file descriptors and crash the database.

A bug in the Apache Kafka client can result in file descriptors being allocated but not released if the `producer.type` attribute is set to "sync" (which is the default). The consequence is that the system eventually runs out of file descriptors and the VoltDB server process will crash.

Until this bug is fixed, use of synchronous Kafka export is not recommended. The workaround is to set the Kafka `producer.type` attribute to "async" using the VoltDB export properties.

## 6. Import

### 6.1. Data may be lost if a Kafka broker stops during import.

If, while Kafka import is enabled, the Kafka broker that VoltDB is connected to stops (for example, if the server crashes or is taken down for maintenance), some messages may be lost between Kafka and VoltDB. To ensure no data is lost, we recommend you disable VoltDB import before taking down the associated Kafka broker. You can then re-enable import after the Kafka broker comes back online.

### 6.2. Kafka import can lose data if multiple nodes stop in succession.

There is an issue with the Kafka importer where, if multiple nodes in the cluster fail and restart, the importer can lose track of some of the data that was being processed when the nodes failed. Normally, these pending imports are replayed properly on restart. But if multiple nodes fail, it is possible for some in-flight imports to get lost. This issue will be addressed in an upcoming release.

## 7. SQL and Stored Procedures

- 7.1.** Comments containing unmatched single quotes in multi-line statements can produce unexpected results.

When entering a multi-line statement at the `sqlcmd` prompt, if a line ends in a comment (indicated by two hyphens) and the comment contains an unmatched single quote character, the following lines of input are not interpreted correctly. Specifically, the comment is incorrectly interpreted as continuing until the next single quote character or a closing semi-colon is read. This is most likely to happen when reading in a schema file containing comments. This issue is specific to the `sqlcmd` utility.

A fix for this condition is planned for an upcoming point release

- 7.2.** Do not use assertions in VoltDB stored procedures.

VoltDB currently intercepts assertions as part of its handling of stored procedures. Attempts to use assertions in stored procedures for debugging or to find programmatic errors will not work as expected.

- 7.3.** The `UPPER()` and `LOWER()` functions currently convert ASCII characters only.

The `UPPER()` and `LOWER()` functions return a string converted to all uppercase or all lowercase letters, respectively. However, for the initial release, these functions only operate on characters in the ASCII character set. Other case-sensitive UTF-8 characters in the string are returned unchanged. Support for all case-sensitive UTF-8 characters will be included in a future release.

## 8. Client Interfaces

- 8.1.** Avoid using decimal datatypes with the C++ client interface on 32-bit platforms.

There is a problem with how the math library used to build the C++ client library handles large decimal values on 32-bit operating systems. As a result, the C++ library cannot serialize and pass Decimal datatypes reliably on these systems.

Note that the C++ client interface *can* send and receive Decimal values properly on 64-bit platforms.

## 9. SNMP

- 9.1.** Enabling SNMP traps can slow down database startup.

Enabling SNMP can take up to 2 minutes to complete. This delay does not always occur and can vary in length. If SNMP is enabled when the database server starts, the delay occurs after the server logs the message "Initializing SNMP" and before it attempts to connect to the cluster. If you enable SNMP while the database is running, the delay can occur when you issue the **voltadmin update** command or modify the setting in the VoltDB Management Center Admin tab. This issue results from a Java constraint related to secure random numbers used by the SNMP library.

## 10. TLS/SSL

- 10.1.** Using Commercial TLS/SSL certificates with the command line utilities.

The command line utilities, such as **sqlcmd**, **voltadmin**, and the data loaders, have an `--ssl` flag to specify the truststore for user-created certificates. However, if you use commercial certificates, there is no truststore. In that case, you need to specify an empty string to the `--ssl` command qualifier to access the database. For example:

```
$ sqlcmd --ssl=""
```

- 10.2.** Bypassing TLS/SSL verification with **voltadmin**.

When TLS/SSL is enabled, the command line utility **voltadmin** assumes you want to verify the authenticity of the database server. If you are using user-created certificates, you must provide the associated truststore on the command line using the `--ssl` flag. However, if you trust the server and do not need to verify the certificate, you can use the syntax `--ssl=nocheck` to bypass the verification. For example:

```
$ voltadmin --ssl=nocheck
```

This is particularly important if you exec into the shell of a Kubernetes pod running Volt with TLS/SSL enabled, since the Volt Docker image includes a slimmed-down set of command line tools not designed for accessing databases outside of the pod.

## 11. Kubernetes

- 11.1.** Shutting down a VoltDB cluster by setting `cluster.clusterSpec.replicas` to zero might not stop the associated pods.

Shutting down a VoltDB cluster by specifying a replica count of zero should shut down the cluster and remove the pods on which it ran. However, on very rare occasions Kubernetes does not delete the pods. As a result, the cluster cannot be restarted. This is an issue with Kubernetes. The workaround is to manually delete the pods before restarting the cluster.

- 11.2.** Specifying invalid or misconfigured volumes in `cluster.clusterSpec.additionalVolumes` interferes with Kubernetes starting the VoltDB cluster.

The property `cluster.clusterSpec.additionalVolumes` lets you specify additional resources to include in the server classpath. However, if you specify an invalid or misconfigured volume, Helm will not be able to start the cluster and the process will stall.

- 11.3.** Using binary data with the Helm `--set-file` argument can cause problems when later upgrading the cluster.

The Helm `--set-file` argument lets you set the value of a property as the contents of a file. However, if the contents of the file are binary, they can become corrupted if you try to resize the cluster with the **helm upgrade** command, using the `--reuse-values` argument. For example, this can happen if you use `--set-file` to assign a JAR file of stored procedure classes to the `cluster.config.classes` property.

This is a known issue for Kubernetes and Helm. The workaround is either to explicitly include the `--set-file` argument again on the **helm upgrade** command. Or you can include the content through a different mechanism. For example, you can include class files by mounting them on a separate volume that you then include with the `cluster.clusterSpec.additionalVolumes` property.

## 12. Python

- 12.1.** Python 3.12 and the Volt command line utilities `voltadb` and `voltadmin`

Due to functionality that was removed from the latest release of Python, the Volt command line utilities **voltadb** and **voltadmin** do not work properly under Python 3.12. Please use an earlier version of Python until this issue is fixed in an upcoming Volt Active Data release.

# Implementation Notes

The following notes provide details concerning how certain VoltDB features operate. The behavior is not considered incorrect. However, this information can be important when using specific components of the VoltDB product.

## 1. Networking

- 1.1.** Support for IPv6 addresses

VoltDB works in IPv4, IPv6, and mixed network environments. Although the examples in the documentation use IPv4 addresses, you can use IPv6 when configuring your database, making connections through applications, or using the VoltDB command line utilities, such as **voltadb** and **voltadmin**. When specifying IPv6 addresses on the command line or in the configuration file, be sure to enclose the address in square brackets. If you are specifying both an IPv6 address and port number, put the colon and port number *after* the square brackets. For example:

```
voltadmin status --host=[2001:db8:85a3::8a2e:370:7334]:21211
```

## 1.2. Issues with Jumbo Frames

There have been reports that VoltDB does not operate correctly when the networking environment is configured to use *jumbo frames*. The symptoms are that TCP packets with MTU>9000 are dropped. However, this is not a Volt-specific issue. When configuring the network to use jumbo frames, it is crucial to thoroughly test the network to guarantee that TCP packets are not fragmented or have their segments reordered during reassembly. If not, there is a danger of lost packets in the network layer, unrelated to the specific application involved.

## 2. XDCR

### 2.1. Upgrading existing XDCR clusters for Dynamic Schema Change

Volt Active Data V12.3 introduces a new feature, dynamic schema change for XDCR clusters. To use this feature, clusters must be configured to enable the feature and must be using the latest DR protocol. However, existing clusters that upgrade from earlier versions will not automatically use the new protocol. Instead, you must explicitly upgrade the DR protocol using the **voltadmin dr protocol --update** command.

First, to use dynamic schema change you must enable the feature in the configuration file. This must be done when you initialize the cluster which, for existing XDCR clusters, is easiest when performing the version upgrade. To upgrade XDCR clusters, you must drop the cluster from the XDCR environment, upgrade the software, then reinitialize and restart the cluster. While reinitializing the cluster, add the `<schemachange enabled="true" />` element to the configuration file. For example:

```
<deployment>
  <dr id="1" role="xcdr">
    <schemachange enabled="true"/>
    <connection source="paris.mycompany.com,rome.mycompany.com"/>
  </dr>
</deployment>
```

Similarly, for Kubernetes, the YAML would be:

```
cluster:
  config:
    deployment:
      dr:
        id: 1
        role: xcdr
        schemachange:
          enabled: true
        connection:
          source: paris.mycompany.com,rome.mycompany.com
```

Once all of the clusters are upgraded to the appropriate software version, you can upgrade the DR protocol. When used by itself, the **voltadmin dr protocol** command displays information about what versions of the DR protocol the XDCR clusters support and which version they are using. When the XDCR relationship starts, the clusters use the highest supported protocol. However, when an existing XDCR group is upgraded, they do not

automatically upgrade the originally agreed-upon protocol. In this case, you must go to each cluster and issue the **voltadmin dr protocol --update** command to use the highest protocol that all the clusters can support. Once you issue the **voltadmin dr protocol --update** command on all of the clusters, you are then ready to perform dynamic schema changes on your XDCR environment.

### 3. Volt Management Center

#### 3.1. Schema updates clear the stored procedure data table in the Management Center Monitor section

Any time the database schema or stored procedures are changed, the data table showing stored procedure statistics at the bottom of the Monitor section of the VoltDB Management Center get reset. As soon as new invocations of the stored procedures occur, the statistics table will show new values based on performance after the schema update. Until invocations occur, the procedure table is blank.

#### 3.2. TLS/SSL for the HTTPD port on Kubernetes

Prior to VoltDB V12.0, encryption for the httpd port which VMC uses was automatically enabled on Kubernetes when you enabled TLS/SSL for the server using the `cluster.config.deployment.ssl.enabled` property. You could then selectively enable SSL for other ports using the `.dr`, `.internal`, and `.external` subproperties of `cluster.config.deployment.ssl`.

Starting with V12.0, VMC on Kubernetes is run as a separate service by default and you can enable or disable TLS/SSL encryption for VMC independently using the `vmc.service.ssl...` properties. However, if you choose not to run VMC as a separate service, by setting the `vmc.enabled` property to "false", VMC encryption is managed the same way as in earlier releases using the `cluster.config.deployment.ssl...` properties as described above.

### 4. SQL

#### 4.1. You cannot partition a table on a column defined as ASSUMEUNIQUE.

The ASSUMEUNIQUE attribute is designed for identifying columns in partitioned tables where the column values are known to be unique but the table is not partitioned on that column, so VoltDB cannot verify complete uniqueness across the database. Using interactive DDL, you can create a table with a column marked as ASSUMEUNIQUE, but if you try to partition the table on the ASSUMEUNIQUE column, you receive an error. The solution is to drop and add the column using the UNIQUE attribute instead of ASSUMEUNIQUE.

#### 4.2. Adding or dropping column constraints (UNIQUE or ASSUMEUNIQUE) is not supported by the ALTER TABLE ALTER COLUMN statement.

You cannot add or remove a column constraint such as UNIQUE or ASSUMEUNIQUE using the ALTER TABLE ALTER COLUMN statement. Instead to add or remove such constraints, you must first drop then add the modified column. For example:

```
ALTER TABLE employee DROP COLUMN empID;  
ALTER TABLE employee ADD COLUMN empID INTEGER UNIQUE;
```

#### 4.3. Do not use UPDATE to change the value of a partitioning column

For partitioned tables, the value of the column used to partition the table determines what partition the row belongs to. If you use UPDATE to change this value and the new value belongs in a different partition, the UPDATE request will fail and the stored procedure will be rolled back.

Updating the partition column value may or may not cause the record to be repartitioned (depending on the old and new values). However, since you cannot determine if the update will succeed or fail, you should not use UPDATE to change the value of partitioning columns.

The workaround, if you must change the value of the partitioning column, is to use both a DELETE and an INSERT statement to explicitly remove and then re-insert the desired rows.

#### 4.4. Ambiguous column references no longer allowed.

Starting with VoltDB 6.0, ambiguous column references are no longer allowed. For example, if both the *Customer* and *Placedorder* tables have a column named *Address*, the reference to *Address* in the following SELECT statement is ambiguous:

```
SELECT OrderNumber, Address FROM Customer, Placedorder
. . .
```

Previously, VoltDB would select the column from the leftmost table (*Customer*, in this case). Ambiguous column references are no longer allowed and you must use table prefixes to disambiguate identical column names. For example, specifying the column in the preceding statement as *Customer.Address*.

A corollary to this change is that a column declared in a USING clause can now be referenced using a prefix. For example, the following statement uses the prefix *Customer.Address* to disambiguate the column selection from a possibly similarly named column belonging to the *Supplier* table:

```
SELECT OrderNumber, Vendor, Customer.Address
       FROM Customer, Placedorder Using (Address), Supplier
. . .
```

## 5. Runtime

### 5.1. File Descriptor Limits

VoltDB opens a file descriptor for every client connection to the database. In normal operation, this use of file descriptors is transparent to the user. However, if there are an inordinate number of concurrent client connections, or clients open and close many connections in rapid succession, it is possible for VoltDB to exceed the process limit on file descriptors. When this happens, new connections may be rejected or other disk-based activities (such as snapshotting) may be disrupted.

In environments where there are likely to be an extremely large number of connections, you should consider increasing the operating system's per-process limit on file descriptors.

### 5.2. Use of Resources in JAR Files

There are two ways to access additional resources in a VoltDB database. You can place the resources in the `/lib` folder where VoltDB is installed on each server in the cluster or you can include the resource in a subfolder of a JAR file you add using the sqlcmd **LOAD CLASSES** directive. Adding resources via the `/lib` directory is useful for stable resources (such as third-party software libraries) that do not require updating. Including resources (such as XML files) in the JAR file is useful for resources that may need to be updated, as a single transaction, while the database is running.

**LOAD CLASSES** is used primarily to load classes associated with stored procedures and user-defined functions. However, it will also load any additional resource files included in subfolders of the JAR file. You can remove classes that are no longer needed using the **REMOVE CLASSES** directive. However, there is no explicit command for removing other resources.

Consequently, if you rename resources or move them to a different location and reload the JAR file, the database will end up having multiple copies. Over time, this could result in more and more unnecessary memory being used by the database. To remove obsolete resources, you must first reinitialize the database root directory, start a fresh database, reload the schema (including the new JAR files with only the needed resources) and then restore the data from a snapshot.



### 5.3. Servers with Multiple Network Interfaces

If a server has multiple network interfaces (and therefore multiple IP addresses) VoltDB will, by default, open ports on all available interfaces. You can limit the ports to a single interface in two ways:

- Specify which interface to use for internal and external ports, respectively, using the **--internalinterface** and **--externalinterface** arguments when starting the database process with the **voltdb start** command.
- For an individual port, specify the interface and port on the command line. For example **voltdb start --client=32.31.30.29:21212**.

Also, when using an IP address to reference a server with multiple interfaces in command line utilities (such as **voltadmin stop node**), use the @SystemInformation system procedure to determine which IP address VoltDB has selected to identify the server. Otherwise, if you choose the wrong IP address, the command might fail.

### 5.4. Using VoltDB where the /tmp directory is noexec

On startup, VoltDB extracts certain native libraries into the /tmp directory before loading them. This works in all standard operating environments. However, in custom installations where the /tmp storage is mounted with the "noexec" option, VoltDB cannot extract the libraries and, in the past, refused to start.

For those cases where the /tmp directory is assigned on storage mounted with the 'noexec' option, you can assign an alternative storage for VoltDB to use for extracting and executing temporary files. This is now done automatically on Kubernetes and does not require any user intervention.

On non-Kubernetes environments, you can identify an alternative location by assigning it to `volt.tmpdir`, `org.xerial.snappy.tmpdir`, and `jna.tmpdir` in the `VOLTDB_OPTS` environment variable before starting the server process. The specified location must exist, must be an absolute path, and cannot be on storage mounted with the "noexec" option. For example, the following command assigns an alternate temporary directory called `/voltemp`:

```
export VOLTDB_OPTS="-Dvolt.tmpdir=/voltemp \
-Dorg.xerial.snappy.tmpdir=/voltemp \
-Djna.tmpdir=/voltemp"
```

When using an alternate temporary directory, files can accumulate over time since the directory is not automatically purged on startup. So you should make sure you periodically delete old files from the directory.

### 5.5. Text Data and Character Sets

Volt Active Data processes and stores text data as UTF-8 encoded strings. When using the Volt Java API, the Java String datatype always stores text in Unicode. So there is no conversion necessary when passing string data to Volt stored procedures. If the application must handle data in alternate character encodings you can use existing Java functionality to convert the data to Unicode on input. For example, using the second argument to specify the character encoding when instantiating a buffered reader:

```
BufferedReader myfile = Files.newBufferedReader(filename, charset);
```

On the other hand, when users enter data interactively using an alternate character encoding, Volt automates the conversion of the character set of the current session to UTF-8 on input and output. In other words, if the user's session is localized to use a specific character set, when entering data at the **sqlcmd** prompt (for example, when executing an INSERT statement) the data is automatically converted to UTF-8 before processing. Similarly, on output (such as displaying the results of a SELECT statement) the UTF-8 data is converted to the user's localized character set.

When processing text files, such as CSV files or **sqlcmd** scripts, the command line utilities provide a **--charset** qualifier that lets you specify the character set of the input file. The **--charset** qualifier affects the

FILE directive and `--file` and `--output` qualifiers for **sqlcmd** as well as the input files for the **csvloader**. Note that the user's localized session character set does *not* affect file input. If the `--charset` qualifier is not used the data is assumed to be UTF-8 by default.

Finally, which character sets are supported depends on which Java virtual machine (JVM) release you are using on your servers (for export) or client machines (for **sqlcmd** and **csvloader**). For established character sets, such as Shift\_JIS or ISO-8859-1, all supported JVM releases provide support. For newer character sets, you may need a more recent release of the JVM. For example, the recent Simplified Chinese character set GB18030-2022 requires a JVM released in 2023 or later. For OpenJDK this includes the following releases:

- Java 8 — release 8u32-b05
- Java 11 — release 11.0.20+8
- Java 17 — release 17.0.8+7

## 5.6. Using Java 11 in Client Applications

When running a client application linked against the VoltDB Java API and using the Java 11 runtime, the application may issue warnings about an "illegal reflective access operation." The message does not impact the actual operation of the client or the database itself. You can suppress this warning using the `--add-opens` qualifier on the **java** command. For example:

```
$ java --add-opens=java.base/java.lang=ALL-UNNAMED \
  --add-opens=java.base/sun.nio.ch=ALL-UNNAMED \
  --add-opens=java.base/java.net=ALL-UNNAMED \
  --add-opens=java.base/java.nio=ALL-UNNAMED \
  myclientapp
```

## 6. Kubernetes

### 6.1. Do not change the UID on the Kubernetes account used to run VoltDB

In the security context section of the Helm chart for VoltDB, the user account UID is set to 1001. This value is required. Do not change or override any of the following properties when configuring your database:

```
cluster.clusterSpec.podSecurityContext.runAsUser
cluster.clusterSpec.podSecurityContext.fsGroup
cluster.clusterSpec.securityContext.runAsUser
cluster.clusterSpec.securityContext.runAsGroup
```

### 6.2. OpenShift and Transparent Huge Pages (THP)

For production, VoltDB requires that Transparent Huge Pages (THP) are disabled because they interfere with memory-intensive applications. However, THP may be enabled on OpenShift containers and the containers themselves not have permission to disable them. To overcome this situation, you must run the Helm chart for disabling THP from a privileged container:

```
$ helm -n kube-system install thp voltdb/transparent-hugepages \
  --set thp.securityContext.privileged=true
```

### 6.3. Kubernetes Compatibility

See the *Volt Kubernetes Compatibility Chart* for information on which versions of the Volt Operator and Helm charts support which version of VoltDB. See the *VoltDB Operator Release Notes* for additional information about individual releases of the VoltDB Operator.